

<b>SCRUTINY COMMISSION FOR HEALTH ISSUES</b>	<b>Agenda Item No. 7</b>
<b>19 JULY 2010</b>	<b>Public Report</b>

## **Report of the Director of Adult Social Services**

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### **PETERBOROUGH SAFEGUARDING ADULTS – QUARTERLY REPORT**

#### **1. PURPOSE**

- 1.1 The purpose of this report is to ask the Scrutiny Commission to consider, challenge and comment on the performance report on adult safeguarding.

#### **2. RECOMMENDATION**

- 2.1 That the Scrutiny Commission notes and comments on the performance report on adult safeguarding.

#### **3. LINKS TO CORPORATE PLAN, SUSTAINABLE COMMUNITY STRATEGY AND LOCAL AREA AGREEMENT**

- 3.1 Safeguarding vulnerable adults is at the heart of the Sustainable Community Strategy. Our ambition includes working to help the people of Peterborough "be protected from abuse, discrimination and harassment". The Local Area Agreement targets relating to vulnerable people have particular links to this area.

#### **4. PERFORMANCE**

- 4.1 Since the last performance report to the Scrutiny Commission (in March 2009), the Adult Safeguarding Board has met on a bi-monthly, rather than quarterly, basis. Therefore, the information provided in today's report is the most recent data submitted to the Adult Safeguarding Board at its meeting on 25 June 2010. In addition, an appendix of the data for the previous two months, i.e. February and March 2010, is attached as this data has not been reported to the Scrutiny Commission previously. Due to the reporting cycles, the Scrutiny Commission may, in future, receive data from the previous one or two Adult Safeguarding Boards depending on whether there has been one or two meetings of the Board between Commission meetings.

##### Safeguarding Data Collection

- 4.2 Since 1 April 2010 there has been a change in the way that safeguarding adults data is recorded in order to comply with the national Abuse of Vulnerable Adults data collection requirements (currently voluntary).
- 4.3 The terminology now used is:
- An alert is a feeling of anxiety or worry that a vulnerable adult may have been, is or might be, a victim of abuse.
  - A safeguarding adults referral is the same as an alert. However, it becomes a referral when the details lead to an adult protection investigation/assessment relating to the concerns reported.

4.4 All of the information required is recorded on RAISE (the social care client electronic record system) rather than through using spreadsheets. This allows for improved data monitoring in terms of data quality and enhanced reporting. Generally, there has been positive feedback with regard to the new RAISE procedures. The location of all records about each Alert/Referral is now in one place for each service user, which facilitates keeping track of the progress of the case and subsequent auditing.

4.5 Information in Appendix 1 has come entirely from RAISE.

#### Performance Data and Analysis

4.6 The alerts and referrals data for April and May 2010 are attached at Appendix 1. Data for February and March 2010 are attached at Appendix 2.

#### Outcomes

4.7 Outcomes for Safeguarding Adults Alerts that do not proceed to a Safeguarding Adults Referral are recorded as unsubstantiated.

4.8 Outcomes (both for the vulnerable adult and for the alleged perpetrator/organisation/service) are recorded for Safeguarding Adults Referrals. For the vulnerable adult there is a specific outcome regarding the conclusion of the investigation:

- Allegation Substantiated
- Allegation Partly Substantiated
- Allegation Not Substantiated
- Allegation Not Determined/Inconclusive/Unresolved

4.9 22 referrals commencing in April and May 2010 have closed with the following outcomes:

- 8 substantiated allegations
- 1 partly substantiated allegations
- 6 unsubstantiated allegations
- 7 not determined/inconclusive/unresolved
- 28 awaiting outcome.

4.10 28 investigations which commenced in April and May have yet to have outcomes recorded. The outstanding outcomes are made up mainly from cases held and ongoing by Cambridgeshire and Peterborough Foundation Trust (CPFT), Peterborough and Stamford Hospital Foundation Trust (PSHFT), and out of area Adult Social Care Teams. Senior Strategy Meeting processes are also awaiting outcomes (see 4.14 below).

4.11 There have been some notable Court-related outcomes that have occurred in May as a result of Safeguarding Adults work. In one case, two staff members of a care home were acquitted of common assault of an adult who has a learning disability. In another case, the Manager of a sheltered housing scheme was sent to prison for six months for theft from an elderly resident. In both cases, staff from PCS worked appropriately and collaboratively with other agencies throughout the investigations. There are other cases where Court proceedings are pending.

#### Profile of cases

4.12 The number of matters proceeding to a safeguarding adults investigation has varied from 12 in January 2010 to 31 in March, the average over the five months from January to May being 22.

4.13 The new reporting framework provides information about the location where the alleged abuse took place. For the months of April and May the location was 'Own home' or 'Supported accommodation' in 62.5% of all the cases proceeding to a safeguarding adults investigation.

- 4.14 During April and May there have been multiple Safeguarding Adults Referrals regarding residents of two local care homes. As a result, Senior Strategy Meetings regarding each of those Care Homes have taken place or are planned. In addition to the large amount of investigatory work that is required, such situations have the additional effect of requiring reviews to be completed about other residents who live in those homes which have also been undertaken. This work has highlighted issues which are not considered safeguarding concerns but have required work towards improvement in the provision of care for residents, in particular an improvement in their personalised care plans. In one particular case, the Proprietor accepted their standards were not acceptable and prior to a strategy meeting employed a Management Consultant to modernise and improve the provision of care and the standard of their Policies and Procedures. Placements in the homes are currently suspended.
- 4.15 There has consistently been more women than men who are victims of alleged abuse (that proceed to a safeguarding adults investigation). This is in part related to the age profile of vulnerable people with women living longer than men.
- 4.16 In line with the recommendations agreed at a previous Adult Safeguarding Board meeting, alerts from black and minority communities will be reviewed six monthly against the relevant population benchmarks.
- 4.17 The client group where there is consistently the highest proportion of vulnerable adults who are the victims of alleged abuse (that proceeds to a safeguarding adults investigation) is that of Physical Disability or Frailty (including Sensory Impairment). This client group covers all age groups. The category of 'Other Vulnerable People' is used for service users that cannot be appropriately linked to other categories. During April and May only one person was categorised as 'Other Vulnerable People'.
- 4.18 Over the five month period from January to May physical and financial abuse are the main types of abuse reported but other types follow closely. There was an increase in the number of sexual abuse matters proceeding to a safeguarding adults investigation during March and April, although none in May.
- 4.19 A new reporting area of "Referral Source" has been added to the report (Appendix 1). During April and May the category of "housing association" is the biggest source. However, following this data being reviewed at the Safeguarding Board it is apparent that more work is needed on this heading and the Assistant Director - Operations (PCS) and the Safeguarding Team are reviewing the collection of data under this heading.
- 4.20 During April and May 62.5% of Safeguarding Adults Alerts proceeded to a Safeguarding Adults Referral.

#### Quality

- 4.21 Monthly audits have continued to be undertaken and these evidence improvement in the completion of timescales and use of the correct forms and checklists. External audit work on safeguarding assessment standards is currently being completed.
- 4.22 The change of recording practice from 1April to using RAISE to record all stages of the safeguarding process will have a significant effect on data quality as it will allow for better data quality monitoring and therefore as a result will improve the data quality enabling better analysis.

### **5. PETERBOROUGH SAFEGUARDING ADULTS BOARD**

- 5.1 At its meeting on 16 April 2010, the Adult Safeguarding Board agreed that the adult safeguarding action plan be refreshed and separated out into an improvement plan and a further work programme. These documents are attached at Appendix 3.

## 6. SAFEGUARDING FORUM

6.1 Topics discussed at the Forum included:

- Presentation by the Care Quality Commission on changes to its role.
- Presentation by Cambridgeshire Constabulary on honour based violence and forced marriage.
- Presentation by local housing providers on safeguarding in extra-care housing settings.

## 7. TRAINING

7.1 Training provided in the period 1 April – 31 May 2010 is listed below:

Course title	No. of participants
Safeguarding raising awareness, including induction sessions	19
Safeguarding enhanced awareness	8
Leading safeguarding investigations	0
Domestic abuse	0
Mental Capacity Act awareness	19
Mental Capacity Act – capacity assessment	0
Deprivation of Liberty	9

## 8. EXPECTED OUTCOMES

8.1 The Scrutiny Commission is asked to note and discuss the content of the report.

## 9. NEXT STEPS

9.1 Safeguarding adults reports will be submitted to the Scrutiny Commission on a quarterly basis.

## 10. BACKGROUND DOCUMENTS

Used to prepare this report, in accordance with the Local Government (Access to Information) Act 1985

None.

## CONCERNS, SUSPICIONS OR ALLEGATIONS OF ABUSE REPORTED

	April 10	May 10	June 10	July 10	Aug 10	Sept 10
<b>NUMBER OF ALERTS PROCEEDING TO A SAFEGUARDING ADULTS REFERRAL (INVESTIGATION)</b>	28	22				
<b>Age breakdown</b>						
18 to 30	4	4				
31 to 45	4	4				
46 to 64	7	8				
65 to 79	5	1				
80+	8	5				
Unknown	0	0				
<b>Whereabouts at time of alert</b>						
Care home permanent	3	4				
Day Centre / service	1	0				
Local acute hospital	1	0				
Multiple	2	1				
Nursing home permanent	1	2				
Own Home	13	5				
Public place	0	0				
unknown	0	1				
Care home temporary	1	0				
Supported accommodation	5	8				
Alleged perpetrator's home	0	1				
Other health setting	1	0				
<b>Gender</b>						
Female	22	14				
Male	6	7				
Unknown yet	0	1				
<b>Ethnic origin</b>						
1 - White	25	20				
2 - Mixed	0	0				
3 - Asian or Asian British	1	1				
4 - Black or Black British	0	0				
5 - Other Ethnic Groups	0	0				
6 - Not stated	2	1				
<b>Vulnerable adult client group</b>						
Learning Disability	9	4				
Mental Health	1	2				
Other Vulnerable People	0	0				
Physical And Sensory Disability/frailty	18	15				
Substance Misuse	0	1				

<b>Self funding</b>						
Commissioned by Another CASSR	1	0				
No Service	2	4				
not recorded	7	8				
Own Council Commissioned Service	15	7				
Self Funded service	0	2				
Service funded by Health	3	1				
<b>Type of Abuse</b>						
Emotional	4	3				
Financial	5	8				
Multiple	7	3				
Neglect	5	6				
Physical	3	2				
Sexual	4	0				
<b>Referral Source</b>						
49 Lincoln Road	1	0				
Community Nurse	1	0				
Community Team	5	0				
CSW Team	1	0				
Housing Association	3	6				
Independent Agency	0	2				
Kingfisher Centre	1	0				
Learning Disabilities	2	0				
Neighbour, Relative or Friend	0	1				
Police	0	1				
Primary Health Staff	1	1				
Registered Residential / Nursing Care Home	2	0				
Relative	1	1				
Safeguarding Adults Team	1	0				
Service Provider	1	2				
SG Other	1	4				
SG Primary/Community Health Staff	2	0				
SG Social Worker/Manager	0	0				
Special Needs Teacher	1	0				
SSAFA	1	0				
Peverels	1	0				
Transfer of Care Team	0	0				
Other	1	2				
Hospital	1	0				
Hospital Based NHS Staff	0	1				
Occupational Therapy Team	0	1				
Social Services Dep`T	0	0				
<b>NUMBER OF ALERTS NOT PROCEEDING TO A SAFEGUARDING ADULTS REFERRAL (INVESTIGATION)</b>						
	21	17				

## CONCERNS, SUSPICIONS OR ALLEGATIONS OF ABUSE REPORTED

	Jan 10	Feb 10	Mar 10	April 10	May 10	June 10	July 10	Aug 10	Sept 10	Oct 10	Nov 10	Dec 10
<b>TOTAL ALERTS</b>	12	19	31									
<b>Age breakdown</b>												
18 to 30	1	5	5									
31 to 45	3	1	7									
46 to 64	3	3	8									
65 to 79	2	4	2									
80+	3	6	9									
Unknown	0	0	0									
<b>Whereabouts at time of alert</b>												
Own home	4	11	21									
Care home	5	2	3									
Hospital	0	4	1									
Other	3	2	5									
Unknown yet	0	0	1									
<b>Gender</b>												
Female	9	9	18									
Male	3	10	13									
Unknown yet	0	0	0									
<b>Ethnic origin</b>												
White British	11	12	22									
Other white	1	2	3									
Pakistani	0	1	2									
Other Asian	0	0	1									
Unknown yet	0	4	3									
<b>Vulnerable adult client group</b>												
Physical	0	12	13									
Mental health	4	2	4									
Learning	1	5	10									
Frailty & Temp.	3	0	0									
Dementia	0	0	0									
Other Vul. People	4	0	3									
Unknown/not recorded	0	0	1									
<b>Self funding</b>												
Yes	1	0	2									
No	8	15	29									
Not known	3	4	0									
Funded by another authority	0	1	1									
<b>Type of Abuse</b>												
Financial	3	7	8									
Neglect	0	3	3									
Physical	8	3	11									
Discriminatory	0	0	0									
Sexual	0	0	3									
Emotional	1	6	6									
Psychological	0	0	0									
Multiple	0											
<b>Non Alerts</b>	<b>49</b>	<b>34</b>	<b>23</b>									

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